

**THE APEX MID CAP GROWTH FUND**  
**AUTHORIZATION TO TRANSFER RETIREMENT ACCOUNT**

Mail to: Mutual Shareholder Services, LLC  
8000 Town Centre Drive, Suite 400  
Broadview Heights, OH 44147

For assistance in completing the application, contact  
your account executive or call Mutual Shareholder  
Services at 877 593 8637 or Fax: 440 526 4446  
Email: ggetts@mutualss.com

Tel: 877 593 8637 Fax: 440 526 4446

To transfer assets from an existing IRA or to complete a direct rollover from a qualified employer plan, 403(b) account, or Keogh to an Apex Fund IRA, complete this form and attach a copy of a current statement from your existing IRA or qualified retirement plan. If you are opening a new IRA, also attach your completed IRA application to this form. Return this form and the applicable attachments to the above address.  
(Note: If you are age 70 1/2 or older, you must take out your minimum required distribution from your IRA before completing a transfer or direct rollover)

**Please Print**

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**1. Investor Information**

\_\_\_\_\_  
Your Name (exactly as it appears on current account statement)

\_\_\_\_\_  
Your Social Security Number

\_\_\_\_\_  
Your Mailing Address

\_\_\_\_\_  
Home Phone

\_\_\_\_\_  
Business Phone

\_\_\_\_\_  
City

\_\_\_\_\_  
State Zip

\_\_\_\_\_  
Your Birthdate

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**2. Describe the IRA You are Transferring to Apex Funds**

\_\_\_\_\_  
Where is your account located?

\_\_\_\_\_  
Name (of contact person at this institution)

\_\_\_\_\_  
Address

\_\_\_\_\_  
Phone Number (of contact person)

\_\_\_\_\_  
City

\_\_\_\_\_  
State Zip

**Type of Account to be Transferred: (Check one)**

- Regular IRA                       Roth IRA                       Spousal IRA  
 SEP- IRA                       SAR - SEP  
 Direct Rollover from an Employer's qualified plan or 403(b) plan  
 Rollover (select one)  
     From an Employer's qualified plan or 403(b) plan  
     From a Regular (contributory) IRA or a SEP-IRA  
 Other (List Type) \_\_\_\_\_

**Portion of Account to be Transferred: (Check One)**

- All of the assets in my account.
- \$ \_\_\_\_\_ in my account.

**If you are transferring a Certificate of Deposit IRA, Choose One of the Options Below:**

- Liquidate prior to maturity date. I am aware of and acknowledge the penalty I will incur from an early withdrawal.
- Liquidate at maturity. (Maturity date must be within 60 days. If the maturity date is less than 15 days from the date of this request, you may want to contact your custodian bank to prevent automatic reinvestment of the account.)

**3. Instructions to Custodian of Existing Account, Account Number:** \_\_\_\_\_

I have established an Apex Funds Individual Retirement Account with U.S. Bank N.A. as Custodian. Please withdraw assets from my account in your custody and send a check payable to U.S. Bank N.A. / Individual Retirement Account in the name of \_\_\_\_\_, attn: Apex Mid Cap Growth Fund, Mutual Shareholders Services, LLC, 8000 Town Centre Drive, Suite 400, Broadview Heights, OH 44147

**4. Investment Instructions**

Please specify the amount to be invested:

- The Apex Mid Cap Growth Fund \$ \_\_\_\_\_

**5. Authorizations**

**Shareholder Authorization:** I hereby authorize the Mutual Shareholders Services to deposit the assets received from my existing IRA, qualified employer plan or section 403(b) account according to the terms stated in this IRA Transfer Request Form. I hereby acknowledge that strict requirements must be met to qualify for tax-free rollover or transfer treatment; I hereby certify that the source of the transfer or rollover contribution qualifies the contribution as such.

X \_\_\_\_\_  
 Signature Date

**Custodian Authorization:** U.S. Bank N.A. hereby accepts its appointment as Custodian of the above IRA account and upon receipt of assets will deposit such assets in an Apex Fund IRA on behalf of the Depositor authorizing this transfer or direct rollover.

X \_\_\_\_\_  
 Authorized Signature / Title Date

**6. Investor Questions**

If you should have any questions, please telephone 1-877-593-8637 or email ggetts@mutualss.com